

**IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF NORTH CAROLINA**

<p>_____ ,</p> <p style="text-align: center;"><i>Plaintiff(s),</i></p> <p style="text-align: center;">v.</p> <p>_____ ,</p> <p style="text-align: center;"><i>Defendant(s).</i></p>	<p>Case No. _____</p> <p>Basis for subject matter jurisdiction:</p> <p>_____</p>
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DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A DIRECT FINANCIAL INTEREST IN LITIGATION

INSTRUCTIONS:

1. Complete a separate form for each non-governmental corporation, partnership, trust, limited liability company, or other similar entity that is a party to, or that appears in, an action or proceeding in this Court.
2. This form is necessary to assist the Court with screening for subject matter jurisdiction and conflicts of interest in judge assignment.
3. File on the docket under the “Corporate Disclosure Statement” event.
4. A party has a continuing duty to update this information.
5. Plaintiff or moving party must serve this form on the defendant(s) or respondent(s) when initial service is made.

This form is completed by: _____, which is
(Name of party)

_____, and is a (check one):
(Plaintiff, Defendant, Movant, etc.)

Corporation, trust, or other similar entity. (Complete Parts A and C only.)

Limited liability company, partnership, or other similar entity. (Complete Parts B and C only.)

PART A. CORPORATIONS, TRUSTS, OR OTHER SIMILAR ENTITIES

LLCs, partnerships, limited partnerships, and similar entities should complete Part B instead.

1. Is the party a publicly held corporation or other publicly held entity?

Yes No

2. Does the party have any parent entities?

Yes No

If yes, identify all parent entities including grandparent, great-grandparent, etc.:

3. Does a publicly held corporation or other publicly held entity (a) own 10% or more of stock in the party or (b) have an interest that could be substantially affected by the litigation?

Yes No

If yes, identify all such entities:

4. Are any of the parent entities (named in question 2) or stock owners or entities (named in question 3) a limited liability company (LLC), partnership, or limited partnership (LP)?

Yes No

If yes, for the purpose of conflict checking, attach a separate sheet that lists the members or partners of the entity, traced back to corporations or individuals. States of citizenship are not required.

Skip Part B. Continue to Part C.

PART B. LIMITED LIABILITY COMPANIES (LLCS), PARTNERSHIPS, LIMITED PARTNERSHIPS (LPS), AND SIMILAR ENTITIES

All other entities (corporations, trusts, etc.) should complete Part A instead.

1. In all cases, pursuant to Fed. R. Civ. P. 7.1(a)(2) and Local Rule 7.7(a)(2), the following is a list of all members or partners of the party and, in diversity cases, their states of citizenship. If subject matter jurisdiction is premised on a basis other than diversity, the state of citizenship may be omitted. However, the names of the members or partners must still be disclosed so that the Court can screen for conflicts.

_____	_____
(name of member or partner)	(state[s] of citizenship)
_____	_____
(name of member or partner)	(state[s] of citizenship)
_____	_____
(name of member or partner)	(state[s] of citizenship)
_____	_____
(name of member or partner)	(state[s] of citizenship)

Continue on a separate page if necessary.

2. Are any of the entities named in response to question 1 themselves limited liability companies, partnerships, or limited partnerships?

Yes No

If yes, provide the names—and in diversity cases, states of citizenship—of the members or partners on a separate page, using the same method to identify the citizenship of members or partners through any layered entities, traced back to corporations or individuals.

SPECIAL NOTE FOR DIVERSITY OF CITIZENSHIP CASES
For diversity purposes, a partnership, LLC, or LP is a citizen of the states of its partners or members, not the state in which the entity is organized or registered. *See Capps v. Newmark S. Region, LLC*, 53 F.4th 299 (4th Cir. 2022); *Cent. W. Va. Energy Co., Inc. v. Mountain State Carbon, LLC*, 636 F.3d 101, 103 (4th Cir. 2011); *Gen. Tech. Applications, Inc. v. Exro Ltda*, 388 F.3d 114, 121 (4th Cir. 2004); *Chalpin Realty SC LLC v. Jersam Realty Inc.*, No. 24-1971, 2025 WL 3654605 (4th Cir. Dec. 17, 2025).

Example: ABC, LLC, is organized under the laws of South Carolina. It has three members: John Jones (an individual); Acme, Inc. (a corporation), and DEF, LLC (a limited liability corporation). All three entities must be disclosed, with states of citizenship included for each of them in diversity cases. Further, ABC, LLC, is required to disclose the members of DEF, LLC. Although DEF, LLC, may be organized or registered under the laws of one state (e.g., North Carolina), its citizenship for diversity purposes is based instead on the citizenship of its members (e.g., New York and Virginia). If one or more of DEF, LLC’s members is also a limited liability corporation, further disclosure is required until only individuals or corporations are listed as members. For example:

<u>Name</u>	<u>State(s) of Citizenship</u>
John Jones	NC
Acme, Inc.	DE (incorporation) & VA (principal place of business)
DEF, LLC	<i>(state of organization/registration immaterial)</i>
Jane Smith	PA
XYZ, Inc.	DE (incorporation) & PA (principal place of business)
GHI, LLC	<i>(state of organization/registration immaterial)</i>
Individual 1	TX
Individual 2	CA
Corp. 3	CA (incorporation) & CA (principal place of business)

Go on to Part C.

PART C. ALL ENTITIES

1. Does the party have any subsidiary or other affiliate entities (corporate or otherwise) that might be affected by the proceeding?

Yes No

If yes, identify entity and nature of interest:

2. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation?

Yes No

If yes, identify entity and nature of interest:

3. Are any of the corporations or other entities in questions 1 or 2: (a) a limited liability company (LLC), (b) partnership, or (c) limited partnership (LP)?

Yes No

If yes, for the purpose of conflict checking, provide the names of the members or partners on a separate page, using the same method to identify the citizenship of members or partners through any layered entities, traced back to corporations or individuals.

The foregoing is true and accurate based on information and belief. I understand that I am under a continuing obligation to update this form as necessary.

Completed by:

(Name)

(Signature) (/s/ sufficient if e-filing)

(Date)